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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER HY 1111.02 US
INTERNATIONAL APPLICATION NO. PCT/EP/02/03239		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) not yet known 10/506941
INTERNATIONAL FILING DATE March 21, 2002		PRIORITY DATE CLAIMED March 21, 2002
TITLE OF INVENTION METHOD AND APPARATUS FOR RECORDING TO AND READING FROM A DIFFRACTIVE OPTICS MEMORY USING SYMMETRICAL ANGULAR ENCODING		
APPLICANT(S) FOR DO/EO/US		

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:


1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☒ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.
18. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: **Statement Under 37 CFR 3.73(b)**

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450 Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/506941 Not Yet Known		INTERNATIONAL APPLICATION NO. PCT/EP/02/03239		ATTORNEY'S DOCKET NUMBER HY 1111.02 US	
21. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (CFR 1.492(a)(1)-(5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1080.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$920.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$770.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$730.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00 ENTER APPROPRIATE BASIC FEE AMOUNT =				CALCULATIONS PTO USE ONLY	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	- 20 =	0	X \$18.00	\$	0.00
Independent claims	- 3 =	1	X \$86.00	\$	86.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$290.00	\$	
TOTAL OF ABOVE CALCULATIONS =				\$	1,006.00
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	
SUBTOTAL =				\$	1,006.00
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
TOTAL NATIONAL FEE =				\$	1,006.00
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$	40.00
TOTAL FEES ENCLOSED =				\$	1,046.00
				Amount to be refunded:	\$
				Amount to be charged:	\$ 1,046.00
a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed. b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>04-1175</u> in the amount of \$ <u>1,046.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>04-1175</u> . A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.					
SEND ALL CORRESPONDENCE TO: DISCOVISION ASSOCIATES Intellectual Property Department 2355 Main Street, Suite 200 Irvine, CA 92614 -- U.S.A.					
				 SIGNATURE	
				Caroline Do, Esq. NAME	
				47,529 REGISTRATION NUMBER	



10/506941

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PATENT TRADEMARK OFFICE

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RE: New National Stage Patent Application in the United States, claiming priority to PCT/EP/02/03239, filed March 21, 2002
Title: METHOD AND APPARATUS FOR RECORDING TO AND READING FROM A DIFFRACTIVE OPTICS MEMORY USING SYMMETRICAL ANGULAR ENCODING
Inventors: Patrick Meyrueis, et al.
Attorney Docket No.: HY 1111.02 US

Dear Sir:

Enclosed for filing in the referenced patent application please find the following:

1. Transmittal Letter (Form PTO-1390) (2 pages);
2. Power of Attorney and Correspondence Address Indication Form (1 page), including Statement Under 37 C.F.R. 3.73(b);
3. Recordation Form Cover Sheet (1 page) and Assignment (2 pages);
4. Cover Letter;
5. Certificate of Mailing by Express Mail Label No. EV390093443US, dated September 8, 2004; and
6. A stamped, self-addressed postcard (Document Control Number 7168OA).

Please indicate on the enclosed postcard the date of receipt of the enclosed materials and mail this prepaid postcard to acknowledge receipt of this transmittal.

Very truly yours,

DISCOVISION ASSOCIATES

Caroline Do, Reg. No. 47,529
Senior Patent Prosecution Attorney
INTELLECTUAL PROPERTY DEVELOPMENT

CD:lf

Enclosures

**CERTIFICATE OF MAILING BY EXPRESS MAIL
UNDER 37 C.F.R. §1.10**

I hereby certify that this correspondence is being deposited with the United States Patent and Trademark Office as Express Mail in an envelope addressed to MAIL STOP PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on September 8, 2004:

EV390093443US
(Express Mail Label No.)

Lori Fukuhara
(Type or print name)

(Signature)